

AUG 21 2001

PCT Applicant's Office - Volume II - National Chapter - US

Annex US.1

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER	
01-517	
U.S. APPLICATION NO. (21 USC 371(c)(1))	
09/914057	
INTERNATIONAL APPLICATION NO. PCT/EP00/01212	PRIORITY DATE CLAIMED February 23, 1999
TITLE OF INVENTION DEVICE AND METHOD FOR INWARDLY TRANSFERRING BULK MATERIAL INTO A...	
APPLICANT(S) FOR DO/EO/US BERND FEDERHEN	
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:	
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input type="checkbox"/> Other items or information:</p>	

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(January 1995)

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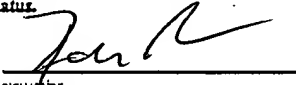
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of Patents and Trademarks, Washington, D.C. 20231
August 21, 2001

OR
(Date of Deposit)
Antoinette Sullo

Name and Reg. No. of Attorney

Antoinette Sullo
Signature

8-21-01
Date of Signature

U.S. APPLICATION NO. 097914057		INTERNATIONAL APPLICATION NO. PCT/EP00/01212		ATTORNEY'S DOCKET NUMBER 01-517	
17. <input checked="" type="checkbox"/> The following fees are submitted:					
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):				\$860.00	
Search Report has been prepared by the EPO or JPO.....					
International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$660.00					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$730.00					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$980.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$92.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	37 -20 =	17	X \$22.00	\$ 306.00	
Independent claims	2 -3 =	--	X \$75.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$240.00 \$	
TOTAL OF ABOVE CALCULATIONS =				\$ 1296.00	
SMALL ENTITY STATUS IS CLAIMED				\$ -648.00	
SUBTOTAL =				\$ 648.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
				\$ 130.00	
TOTAL NATIONAL FEE =				\$ 778.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
				\$ --	
TOTAL FEES ENCLOSED =				\$ 778.00	
				Amount to be refunded \$	
				charged \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>778.00</u> to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-0184</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
<div style="text-align: right;">  SIGNATURE Robert H. Bachman NAME 19,374 REGISTRATION NUMBER </div>					
SEND ALL CORRESPONDENCE TO: Bachman & LaPointe, P.C. 900 Chapel Street, Suite 1201 New Haven, CT 06510-2802					